

## AUDIT COMMITTEE

30 JANUARY 2020

### REPORT OF HEAD OF FINANCE, REVENUES AND BENEFITS & SECTION 151 OFFICER

#### A.3 AUDIT COMMITTEE – TABLE OF OUTSTANDING ISSUES

(Report prepared by Richard Barrett)

#### PART 1 – KEY INFORMATION

##### **PURPOSE OF THE REPORT**

To present to the Committee the progress on outstanding actions identified by the Committee.

##### **EXECUTIVE SUMMARY**

- A Table of Outstanding Issues is maintained and reported to each meeting of the Committee. This approach enables the Committee to effectively monitor progress on issues and items that form part of its governance responsibilities.
- There are usually two appendices to this report – 1) updates against general issues previously identified by the Committee and 2) updates against the Annual Governance Statement that the Committee agrees at its July meeting each year.
- As there are no outstanding actions in respect of general issues identified by the Committee, only updates against the Annual Governance Statement are included. **(Appendix A)**
- To date there are no significant issues arising from the above, with work remaining in progress or updates provided elsewhere on the agenda where appropriate.

##### **RECOMMENDATION(S)**

**That the Committee considers and notes the progress against the Annual Governance Statement Actions.**

#### PART 2 – IMPLICATIONS OF THE DECISION

##### **DELIVERING PRIORITIES**

The existence of sound governance, internal control and financial management practices and procedures are essential to the delivery of Corporate priorities supported by effective management and forward planning within this overall framework.

##### **FINANCE, OTHER RESOURCES AND RISK**

###### **Finance and other resources**

There are no significant financial implications associated with monitoring of the agreed actions or responses. If additional resources are required then appropriate steps will be taken including any necessary reporting requirements.

###### **Risk**

The Table of Outstanding Issues is in itself a response to potential risk exposure with further activity highlighted to address matters raised by the Audit Committee.

**LEGAL**

There are no direct legal implications associated with this report.

**OTHER IMPLICATIONS**

Consideration has been given to the implications of the proposed decision in respect of the following and any significant issues are set out below.

**Crime and Disorder / Equality and Diversity / Health Inequalities / Area or Ward affected / Consultation/Public Engagement.**

This report does not have a direct impact although such issues could feature in future recommendations and actions. Any actions that may have an impact will be considered and appropriate steps taken to address any issues that may arise.

**PART 3 – SUPPORTING INFORMATION****TABLE OF OUTSTANDING ISSUES**

An update against actions relating to the Annual Governance Statement is set out in **Appendix A**.

There are no significant issues to highlight to date with work scheduled or remaining in progress on all actions agreed.

**BACKGROUND PAPERS FOR THE DECISION**

None

**APPENDICES**

**Appendix A** - Table of Outstanding Issues (January 2020) - Annual Governance Statement Actions